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## ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18  
Stylesheet Version v18.0

Title of Invention	Transferring Application Secrets in a Trusted Operating System Environment		
Application Number:	09/993340		
Confirmation Number:	5780		
First Named Applicant:	Paul England		
Attorney Docket Number:			
Art Unit:	2132		
Examiner:	<u>Gilberto Barron</u> SAMSON LEMMA		
Search string:	( 4827508 or 4969189 or 4977594 or 5023907 or 5050213 or 5140634 or 5276311 or 5335334 or 5410598 or 5473690 or 5473692 or 5491827 or 5544246 or 5557518 or 5654746 or 5664016 or 5671280 or 5721781 or 5745886 or 5757919 or 5796824 or 5812662 or 5812980 or 5841869 or 5872847 or 5892900 or 5892902 or 5892904 or 5910987 or 5915019 or 5917912 or 5920861 or 5919257 or 5933498 or 5940504 or 5943422 or 5944821 or 5949876 or 5953502 or 5958050 or 5963980 or 5982891 or 5991399 or 5991876 or 6006332 or 6009274 or 6009401 or 6026166 or 6032257 or 6038551 ).pn.		

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**US Patent Documents**

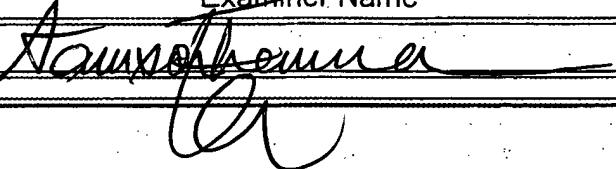
Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
S.L	1	4827508	1989-05-02	Shear			
	2	4969189	1990-11-06	Ohta et al.			
	3	4977594	1990-12-11	Shear			
	4	5023907	1991-06-11	Johnson et al.			
	5	5050213	1991-09-17	Shear			
	6	5140634	1992-08-18	Guillou et al.			
	7	5276311	1994-01-04	Hennige			
	8	5335334	1994-08-02	Takahashi et al.			
	9	5410598	1995-04-25	Shear			

S.L.	10	5473690	1995-12-05	Grimonprez et al.
	11	5473692	1995-12-05	Davis
	12	5491827	1996-02-13	Holtey
	13	5544246	1996-08-06	Mandelbaum et al.
	14	5557518	1996-09-17	Rosen
	15	5654746	1997-08-05	McMullan, Jr. et al.
	16	5664016	1997-09-02	Preneel et al.
	17	5671280	1997-09-23	Rosen
	18	5721781	1998-02-24	Deo et al.
	19	5745886	1998-04-28	Rosen
	20	5757919	1998-05-26	Herbert et al.
	21	5796824	1998-08-18	Hasebe et al.
	22	5812662	1998-09-22	Hsu et al.
	23	5812980	1998-09-22	Asai
	24	5841869	1998-11-24	Merklin et al.
	25	5872847	1999-02-16	Boyle et al.
	26	5892900	1999-04-06	Ginter et al.
	27	5892902	1999-04-06	Clark
	28	5892904	1999-04-06	Atkinson et al.
	29	5910987	1999-06-08	Ginter et al.
	30	5915019	1999-06-22	Ginter et al.
	31	5917912	1999-06-29	Ginter et al.
	32	5920861	1999-07-06	Hall et al.
	33	5919257	1999-07-06	Trostle
	34	5933498	1999-08-03	Schneck et al.
	35	5940504	1999-08-17	Griswold
	36	5943422	1999-08-24	Van Wie et al.
	37	5944821	1999-08-31	Angelo
	38	5949876	1999-09-07	Ginter et al.
	39	5953502	1999-09-14	Helbig, Sr.
	40	5958050	1999-09-28	Griffin et al.
	41	5963980	1999-10-05	Coulier et al.
	42	5982891	1999-11-09	Ginter et al.
	43	5991399	1999-11-23	Graunke et al.
	44	5991876	1999-11-23	Johnson et al.
	45	6006332	1999-12-21	Rabne et al.

S.L	46	6009274	1999-12-28	Fletcher et al.
	47	6009401	1999-12-28	Horstmann
	48	6026166	2000-02-15	LeBourgeois
	49	6032257	2000-02-29	Olarig et al.
	50	6038551	2000-03-01	Barlow et al.

Signature

Examiner Name	Date
	06/03/05



#8

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Art Unit:	2132						
Examiner:	Gilberto Barron — SAMSON LEMMA						
Search string:	( 6073124 or 6092189 or 6105137 or 6112181 or 6118873 or 6138119 or 6148402 or 6148387 or 6157721 or 6175917 or 6185683 or 6185678 or 6189100 or 6192473 or 6212636 or 6223284 or 6229894 or 6230285 or 6237786 or 6240185 or 6253193 or 6263431 or 6272629 or 6292569 or 6327652 or 6330588 or 6338139 or 6341373 or 6363486 or 6363488 or 6367012 or 6381741 or 6389402 or 6389537 or 6427140 or 6449367 or 6477252 or 6477648 or 6480961 or 6560706 or 5787427 or 20020007452 or 20020069365 or 20020107803 or 20020120936 or 20020152173 or 20010025281 ).pn.						
RECEIVED FEB 10 2004 Technology Center 2100							
US Patent Documents							
Note: Applicant is not required to submit a paper copy of cited US Patent Documents							
init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
S-L	1	6073124	2000-06-06	Krishnan et al.			
	2	6092189	2000-07-18	Fisher et al.			
	3	6105137	2000-08-15	Graunke et al.			
	4	6112181	2000-08-29	Shear et al.			
	5	6118873	2000-09-12	Lotspeich et al.			
	6	6138119	2000-10-24	Hall et al.			
	7	6148402	2000-11-14	Campbell			
	8	6148387	2000-11-14	Galasso et al.			
	9	6157721	2000-12-05	Shear et al.			

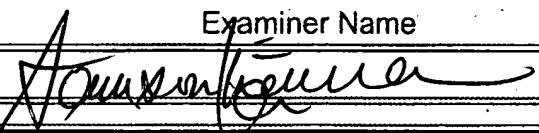
S.L	10	6175917	2001-01-16	Arrow et al.
	11	6185683	2001-02-06	Ginter et al.
	12	6185678	2001-02-06	Arbaugh et al.
	13	6189100	2001-02-13	Barr et al.
	14	6192473	2001-02-20	Ryan, Jr. et al.
	15	6212636	2001-04-03	Boyle et al.
	16	6223284	2001-04-24	Novoa et al.
	17	6229894	2001-05-08	Van Oorschot et al.
	18	6230285	2001-05-08	Sadowsky et al.
	19	6237786	2001-05-29	Ginter et al.
	20	6240185	2001-05-29	Van Wie et al.
	21	6253193	2001-06-26	Ginter et al.
	22	6263431	2001-07-17	Lovelace et al.
	23	6272629	2001-08-07	Stewart
	24	6292569	2001-09-18	Shear et al.
	25	6327652	2001-12-04	England et al.
	26	6330588	2001-12-11	Freeman
	27	6338139	2002-01-08	Ando et al.
	28	6341373	2002-01-22	Shaw
	29	6363486	2002-03-26	Knapton, III
	30	6363488	2002-03-26	Ginter et al.
	31	6367012	2002-04-02	Atkinson et al.
	32	6381741	2002-04-30	Shaw
	33	6389402	2002-05-14	Ginter et al.
	34	6389537	2002-05-14	Davis et al.
	35	6427140	2002-07-30	Ginter et al.
	36	6449367	2002-09-10	Van Wie et al.
	37	6477252	2002-11-05	Faber et al.
	38	6477648	2002-11-05	Schell et al.
	39	6480961	2002-11-12	Rajasekharan et al.
	40	6560706	2003-05-06	Carbajal et al.
	41	5787427	1998-07-28	Benantar et al.

## US Published Applications

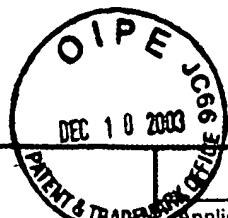
Note: Applicant is not required to submit a paper copy of cited US Published Applications

init	Cite.No.	Pub. No.	Date	Applicant	Kind	Class	Subclass
J-L	1	20020007452	2002-01-17	Traw et al.			
	2	20020069365	2002-06-06	Howard et al.			
	3	20020107803	2002-08-08	Lisanke et al.			
	4	20020120936	2002-08-29	Del Beccaro et al.			
	5	20020152173	2002-10-17	Rudd			
	6	20010025281	2001-09-27	Hirayama			

Signature

Examiner Name	Date
	06/03/05

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**Substitute for form 1449A/PTO**

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

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**Complete if Known**

**Application Number** 09/993,340

**Filing Date** 11/16/2001

**First Named Inventor** England et al.

Group Art Unit 2132

Examiner Name Berren, G. SAMSON LEMMA

Substitute for form 1449A/PTO				Complete if Known	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>				Application Number	09/993,340
				Filing Date	11/16/2001
				First Named Inventor	England et al.
				Group Art Unit	2132
				Examiner Name	Berren G. SAMION LEMMA
Sheet	1	of	3	Attorney Docket Number	MS1-955US

## **U.S. PATENT DOCUMENTS**

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## **FOREIGN PATENT DOCUMENTS**

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Date  
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<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

**Burden Hour Statement:** This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.**

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 2 of 3

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Application Number	09/993,340
Filing Date	11/16/2001
First Named Inventor	England et al.
Group Art Unit	2132
Examiner Name	Baron, G. SAMON F. FUMA
Attorney Docket Number	MS1-955US

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### NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
S.L.		"Facing an Internet Security Minefield, Microsoft Hardens NT Server Defenses", Young R., Windows Watcher, 09/12/97, Vol. 7, Issue 9, p1, 6p, 1 chart.	
		"Phoenix Technologies Partners with Secure Computing in Enterprise Security Marketplace", July 12, 2001, Business Wire, Courtesy of Dialog Text Search, Pg. 1-2.	
		Murphy et al., "Preventing Piracy: Authorization Software May Ease Hollywood's Fear of the Net", Internet World Magazine, April 1, 2000, 3 pages.	
		"Internet Security: SanDisk Products and New Microsoft Technology Provide Copy Protected Music for Internet Music Player Market. (Product Announcement)", Edge: Work Group Computing Report, April 19, 1999, 2 pages.	
		Arbaugh et al., "A Secure and Reliable Bootstrap Architecture", Distributed Systems Laboratory, Philadelphia, PA, 1997, pp. 65-71.	
		Lampson et al., "Authentication in Distributed Systems: Theory and Practice", Digital Equipment Corporation, ACM Transactions on Computer Systems, Vol. 10, No. 4, November 1992, pp. 265-310.	
		Clark et al., "Bits: A Smartcard Protected Operating System", Communications of the ACM, Vol. 37, No. 11, November 1994, pp. 66-70, 94.	
		Yee, "Using Secure Coprocessors", School of Computer Science, Carnegie Mellon University, 1994, 104 pgs.	
		Abadi et al., "Authentication and Delegation with Smart-cards", July 30, 1992, 30 pgs.	
		Schneier, B., "Applied Cryptography", Applied Cryptography. Protocols, Algorithms, and Source Code in C, 1996, pp. 574-577.	
		"Secure Management Information Exchange", Bhatti et al., Journal of Network and Systems Management, Vol. 4, No. 3, 1996, pages 251-277.	

Examiner Signature

Date Considered

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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>				Patent & Trademark Office		
				Trademark Number		09/993,340
				Filing Date		11/16/2001
				First Named Inventor		England et al.
				Group Art Unit		2132
				Examiner Name		Barron, G.
Sheet	3	of	3	Attorney Docket Number	MS1-955US	
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## **NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No.*	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
S.L		"Security Enhancement for the "Simple Authentication Key Agreement Algorithm" ", Lin et al., IEEE 2000, pages 113-115.	
		"Techniques for secure execution of mobile code: a review", J.M. Mas-Ribes and Benoit Macq, Ann. Telecommun., 55, n 7-8, 2000, pages 1-9.	
		"Security Agility for Dynamic Execution Environments", Petkac et al., IEEE 1999, pages 377-390.	

Examiner Signature		Date Considered	08/03/05
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